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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,983	01/17/2002	John F. Carver	1823.0440001

26111
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
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WASHINGTON, DC 20005-3934



CONFIRMATION NO. 3238

FORMALITIES LETTER



OC000000007446623

Date Mailed: 02/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$72 for 4 total claims over 20.
 - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1110.**

05/10/2002 BABRAHA1 00000002 10047983

01 FC:201	370.00	OP
02 FC:203	56.00	OP
03 FC:202	84.00	OP

*A copy of this notice **MUST** be returned with the reply.*

05/22/2002 00000002 10047983 10047983

J. D. WARD
Customer Service Center

01 FC:205 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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05/22/2002 SSAL00000009 10047983
01 FC:205 65.00 CH



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*Admitted only in Maryland
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May 8, 2002

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Washington, D.C. 20231

Box Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 10/047,983; Filed: January 17, 2002
For: **Platen Heaters for Biometric Image Capturing Devices**
Inventors: Carver *et al.*
Our Ref: 1823.0440001

Sir:

In reply to the "Notice to File Missing Parts of Nonprovisional Application--Filing Date Granted," dated February 8, 2002, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136(a)(1);
2. Fee Transmittal Form (PTO/SB/17);
3. Copy of the Notice to File Missing Parts;
4. Copy of an original executed Declaration for Patent Application;
5. Copy of an original executed Power of Attorney from Assignee;
6. Copy of an original Certificate Under 37 C.F.R. § 3.73(b) with an attached copy of an Assignment to Cross Match Technologies, Inc.;
7. Copy of an original executed Statement Claiming Small Entity Status (37 C.F.R. §§ 1.9(d) and 1.27(c)) -- Small Business Concern;

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May 8, 2002
Page 2

8. One (1) return postcard; and
9. SKGF check no. **35100** in the amount of **\$545.00** to cover:
 \$370.00 fee for filing patent application;
 \$ 36.00 fee for total claims in excess of twenty (20);
 \$ 84.00 fee for independent claims in excess of three (3); and
 \$ 55.00 fee for one (1) month extension of time.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Albert J. Faruolo II for Reg No 43,607

Michael V. Messinger ←
Attorney for Applicants
Registration No. 37,575

MVM/RDL:mlb
Enclosures

SKGF_DC1:12064.1

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$545.00)



Complete if Known

Application Number	10/047,983
Filing Date	January 17, 2002
Inventors	Carver et al.
Examiner Name	(to be assigned)
Group Art Unit	3742
Attorney Docket No.	1823.0440001

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number **19-0036**
Deposit Account Name **Sterne, Kessler, Goldstein & Fox P.L.L.C.**

- Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

- Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

- Check Credit card Money Order Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	<u>370.00</u>
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00)

3. ADDITIONAL FEES
Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 55.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Michael V. Messinger	Registration No. (Attorney/Agent)	37,575	Telephone	202-371-2600
Signature	<i>Michael V. Messinger</i>			Date	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SKGF Rev. 10/01/01 mnac

SKGF_DC1:12071

#12071v1<SKGF_DC1>-Fee Transmittal - Reply to NTFMP Filing.wpd

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